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PTO/SB/05 (2/98)

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08/08/00

649 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

5001

First Inventor or Application Identifier

Hoyt A. Fleming, III

Title

METHOD AND SYSTEM FOR FILTERING  
UNAUTHORIZED ELECTRONIC MAIL MESSAGES

Express Mail Label No.

EL476400477US

(Only for nonprovisional applications under 37 CFR § 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ General Authorization Form & Fee Transmittal  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages] 17  
(preferred arrangement set forth below)
- Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets] 3
4. Oath or Declaration [Total Pages] 1
- a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☒ Incorporation By Reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
4b, is considered to be part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer-Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☒ 37 CFR 3.73(b) Statement  
(when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure  
Statement (IDS)/PTO-  
1449 ☐ Copies of IDS  
Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☐ Small Entity  
Statement(s) ☐ Statement filed in prior application,  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Certificate of Express Mail  
Check  
Revocation and Substitute Power of Attorney

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: 08/909,811 Filed August 12, 1997
Prior application information: Examiner Hieu LeGroup / Art Unit 2757
☐ Claims the benefit of Provisional Application No. \_\_\_\_\_

## CORRESPONDENCE ADDRESS

Edward W. Bulchis, Esq.  
Dorsey & Whitney LLP  
1420 Fifth Avenue, Suite 3400  
Seattle, Washington 98101-4010  
(206) 903-8800 phone  
(206) 903-8820 fax

Respectfully submitted,

TYPED or PRINTED NAME Edward W. BulchisREGISTRATION NO. 26,847

SIGNATURE

Date

August 8, 2000

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hoyt A. Fleming, III

Attorney Docket No.: 500122.02

Filed : August 8, 2000

Title : METHOD AND SYSTEM FOR FILTERING UNAUTHORIZED  
ELECTRONIC MAIL MESSAGES

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

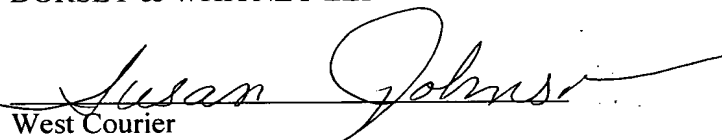
Box Patent Application  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL476400477US, on August 8, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY & WHITNEY LLP

  
West Courier

Enclosures:

Postcard  
Check  
Form PTO/SB/05  
Preliminary Amendment  
General Authorization Under 37 C.F.R. § 1.136(a)(3) and Fee Transmittal (+ copy)  
Copy of Previously Filed Specification, Claims, Abstract (17 pages)  
3 Sheets of Drawings (Figures 1-3)  
Copy of Previously Filed Declaration  
Copy of Previously Filed Election and Power of Attorney  
Revocation and Substitute Power of Attorney  
Information Disclosure Statement and Form PTO-1449

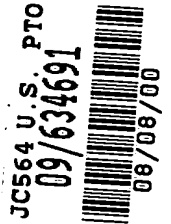
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hoyt A. Fleming, III

Attorney Docket No.: 500122.02

Filed : August 8, 2000

Title : METHOD AND SYSTEM FOR FILTERING  
UNAUTHORIZED ELECTRONIC MAIL

**GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)**  
**AND FEE TRANSMITTAL**

Box Patent Application  
 Assistant Commissioner of Patents  
 Washington, D.C. 20231

Sir:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1266.

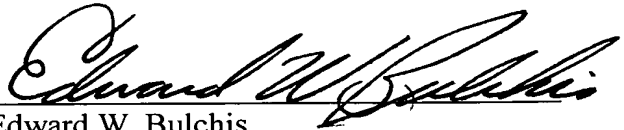
With respect to the above-identified application, the fee is calculated below:

For	Number filed	Number extra		Rate		
Basic Fee						\$ 690
Total Claims	26	6	X	\$ 18	=	\$ 108
Independent Claims	4	1	X	\$ 78	=	\$ 78
<b>TOTAL</b>						<b>\$ 876</b>

A check in the amount of \$876 is enclosed to cover the filing fee.

The Assistant Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 50-1266. A duplicate copy of this request is enclosed.

Date

August 8, 2000  
Edward W. Bulchis  
Registration No. 26,847

Enclosure:

Copy of this General Authorization

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101-4010  
(206) 903-8800  
Fax (206) 903-8820

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